



Re: SEC Form 23-A of Mr. Yusuke Niwa (Issuer: NIKL)
ICTD Submission to TD.P.BEJOSA 08/06/2021 04:46 pm

Dear Customer,

Your report/document has been SUCCESSFULLY ACCEPTED by ICTD.

(Subject to Verification and Review of the Quality of the Attached Document)

Official copy of the submitted document/report with Bar Code Page (Confirmation Receipt) will be made available after 15 days from receipt through the SEC Express System at the SEC website at www.sec.gov.ph

NOTICE

Please be informed that pursuant to SEC Memorandum Circular No. 3, series of 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in PORTABLE DOCUMENT FORMAT (PDF) **Secondary Reports** such as: 17-A, 17-C, 17-L, 17-Q, ICASR, 23-A, 23-B, I-ACGR, FS-P, FS-C, Monthly Reports, Quarterly Reports, Letters, etc... through email at

ictdsubmission@sec.gov.ph

Note: All submissions through this email are no longer required to submit the hard copy thru mail or over- the- counter.

For those applications that require payment of filing fees, these still need to be filed and sent via email with the SEC RESPECTIVE OPERATING DEPARTMENT.

Further, note that other reports shall be filed thru the **ONLINE SUBMISSION TOOL (OST)** such as:

AFS, GIS, GFFS, SFFS, LCFS, LCIF, FCFS. FCIF ANO, ANHAM. All submissions through OST are no longer required to submit the hard copy thru mail or over- the- counter.

FOR MC28, please email to:

[\(MC28_S2020@sec.gov.ph\)](mailto:MC28_S2020@sec.gov.ph)

For your information and guidance.

Thank you and keep safe.

SEC Form 23-A of Mr. Yusuke Niwa (Issuer: NIKL)

Theeza Danielle P BEJOSA to ictdsubmission

08/06/2021 04:46 pm

Cc Ronald P DE VERA, Yuma Iwata, Kimberly M Fernandez, "丹羽 祐輔/Yusuke Niwa"



SEC Form 23A-2021.08.05-Yusuke Niwa.pdf

Ladies and Gentlemen:

On behalf of Mr. Yusuke Niwa, I respectfully submit the attached **Securities and Exchange Commission (SEC) Form 23-A of Mr. Niwa, who was elected as director of Nickel Asia Corporation (NIKL) on August 5, 2021.**

Pursuant to SEC Memorandum Circular No. 10, series of 2020, I hereby declare the authenticity of the attached Form 23-A and undertake to submit to the SEC the physical versions of the exact same form if required by the Commission.

Please find below the details of the undersigned as required by SEC Memorandum Circular No. 10, series of 2020:

Full name: Atty. Theeza Danielle P. Bejosa

Corporate address: 25th Floor NAC Tower, 32nd Street, Bonifacio Global City, Taguig City

Mobile number: 0977-810-9548

I kindly request for a Return Receipt and a Delivery Status Notification of this email.

Thank you and kind regards.

Very truly yours,

Theeza Danielle P. Bejosa

Deputy Department Manager | Legal Department

Sumitomo Metal Mining Philippine Holdings Corporation

24F and 25F NAC Tower, 32nd Street, Bonifacio Global City

Taguig City 1634 Philippines

Affiliates:

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Taganito HPAL Nickel Corporation (THPAL)

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person NIWA, YUSUKE (Last) (First) (Middle) Unit 301, 28-24, 4-Chome, Funabashi, Setagaya-Ku (Street) Tokyo Japan (City) (Province) (Postal Code)		2. Date of Event Requiring Statement August 5, 2021		5. Issuer Name and Trading Symbol Nickel Asia Corporation (NIKL)	
3. Tax Identification Number 769-902-201		6. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director* <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) * Effective as of August 5, 2021		7. If Amendment, Date of Original (Month/Day/Year) N/A	
4. Citizenship Japanese		Table 1 - Equity Securities Beneficially Owned			
1. Class of Equity Security		2. Amount of Securities Beneficially Owned		3. Ownership Form: Direct (D) or Indirect (I)	
		%	Number		
Common Shares		0.00%	2,023	D***	N/A
Total		0.00%	2,023		
*** qualifying shares held in trust for Sumitomo Metal Mining Philippine Holdings Corporation					

If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.
(Print or Type Responses)

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
 - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 - (A) held by members of a person's immediate family sharing the same household;
 - (B) held by a partnership in which such person is a general partner;
 - (C) held by a corporation of which such person is a controlling shareholder; or
 - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

